



Auditing

Wisconsin Stakeholder Meeting 2016

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Sims Recycling Solutions, Inc.

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Sims Recycling Solutions



- Wholly owned subsidiary of Sims Metals Management
 - Publically traded company
- SRS founded and headquartered in the UK in 2002
- Over 1 billion pounds processed last fiscal year
- Global leader in electronics reuse and recycling
- Located throughout: US, Europe, Asia, Australia & Africa
- R2 certified in most sites (WEELABEX in Belgium)

Sims Recycling Solutions



- Safety is the #1 priority in the company and starts with the CEO
- SHEC manages audit process for SRS
 - Different management chain than Operations
- SHEC auditors are specifically trained and certified to perform audits
- Also use third-party auditors for remote subcontractors
- Audit to our customers' standards (higher than other standards)
- Rely on auditing software

Pre Audit

- Helps SRS auditors be more efficient with the audit process.
- Requires the facility to provide documentation before the audit:
 - Certifications and permits
 - Certificates of Insurance
 - EH&S policies, training manuals and meeting minutes
 - Emergency response plans
 - Closure plans
 - Sampling tests – noise, air
 - Material profile – inbound & outbound
 - Downstream vendor audits

Multiple areas of focus during audit

- Site (including its history)
- Processes for specific products, especially focus material
- Downstream vendors
- Health & Safety
- Financial
- Internal documentation
- Security
- Social responsibility

Post Audit

- Follow-up on any open issues
- Notification of deadlines