

**State of Wisconsin
Department of Natural Resources**

DAM OWNERSHIP TRANSFER APPLICATION
Form 3500-13 Rev. 5-91

Use of this form is required by the Department for any application filed pursuant to s. 31.185, Wis. Stats. The Department will not consider your application unless you complete and submit this application form.

NOTE: Please return the completed application form to the appropriate DNR district/area office. Processing of this application may require several months or more. The land sale transaction is not legally valid until the dam transfer permit is issued.

Name of Owner of Dam						
Street or Route						
City, State, Zip Code				Telephone Number (include area code)		
Name of Dam				Home:	Work:	
				Name of Stream		
Dam Location ▶	1/4	Section	Township	Range	<input type="checkbox"/> East	
				<input type="checkbox"/> West		
Town of				County		
Description of Land or Land Rights to be Transferred (attach copy of deed or use additional sheets, if necessary)						

Reasons for Proposed Transfer

	Signature of Applicant	Date Signed
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Name of New Owner						
Street or Route						
City, State, Zip Code				Telephone Number (include area code)		
				Home:	Work:	

The undersigned proposes to acquire ownership of this dam and is able to furnish proof of compliance with s. 31.14, Wis. Stats. In the following manner (see other side for description of options):

	Signature of New Owner	Date Signed
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FINANCIAL CAPABILITY FOR DAM TRANSFER

Prior to the transfer of any dam other than a licensed mill dam from one party to another, the prospective new owner must demonstrate, to the satisfaction of the Department of Natural Resources, the ability to operate and maintain the dam for at least ten years. This financial capability can be demonstrated by establishing one of the following:

1. A trust or escrow account. Proper legal arrangements must be made to ensure that the money is available, and that it cannot be diverted to other persons or uses without Department consent. Escrow funds can only be used for maintaining the structural integrity of a dam, including work on the embankment and spillway structures.
2. A surety bond, payable to the Department.
3. An insurance policy, naming the Department as beneficiary. The premium must be paid for at least ten years and must not be cancelled or assigned to another beneficiary without the Department's consent.
4. A first mortgage on property of the prospective owner (not including the dam). A certified appraisal of the property to ensure that its value is high enough may be required. A second mortgage is not acceptable. (If land around the flowage is listed as an asset, the value should be based on no flowage being present. Property subject to loss in case of dam failure, such as generating equipment, may not be used as the basis for establishing financial responsibility.)
5. A renewable irrevocable letter of credit. The letter is issued by an insured lender to the prospective owner under Chapter 405, Wis. Stats., and must be valid for at least ten years. A letter for a period of less than ten years would not, by itself, provide enough assurance of financial capability.
6. A special assessment district. This must be established pursuant to ss. 31.38 and 66.60, Wis. Stats.
7. Purchase of at least 65% of the flowed land plus a minimum sixty foot wide strip around the flowage. This option is further explained in s. 31.14(3). Wis. Stats.