



UNIVERSAL WASTE HANDLER INSPECTION REPORT - SMALL QUANTITY HANDLER

Revision: 10/05/2020
**WASTE & MATERIALS
 MANAGEMENT PROGRAM**

This Inspection Form, used for the inspection of facilities that generate or handle less than 5000 kg of universal waste (hazardous waste batteries, pesticide, lamps, and mercury containing devices), evaluates facility compliance with Wisconsin's Hazardous Waste Management Rules (chapters NR 660-679, Wis. Admin. Code). The Universal waste regulations streamline the requirements for hazardous waste batteries, pesticide, lamps, and mercury containing devices. Persons treating, disposing, recycling, or otherwise processing universal wastes are subject to applicable hazardous waste regulations.

Section 1: Prohibitions

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| A. Universal waste is not disposed on-site. | | 673.11(1) |
| B. Universal waste is not diluted or treated on-site. | | 673.11(2) |
| Note: Dilution or treatment does not include: sorting, mixing, discharging, regenerating, or disassembling batteries; removing batteries from consumer products or removing electrolytes; removing thermostat ampules; or, responding to a release of universal waste. | | |

Section 2: General Standards

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| A. Universal waste batteries and thermostats that are broken or show evidence of leakage or spillage are placed in closed, structurally sound containers that are compatible with the waste and are not leaking. | | 673.13 |
| B. Universal waste pesticides and lamps are placed in closed, structurally sound containers that are compatible with the waste and not leaking. | | 673.13 |
| C. Sorting, mixing or handling of batteries is only conducted if the battery casing is not breached and remains intact. | | 673.13(1)(b) |
| D. Wastes generated by handling or cleaning up spills of universal wastes are managed according to hazardous waste or solid waste rules. | | 673.13 |
| E. If mercury containing ampules are removed from thermostats, the handler meets ALL of the following: 1. Ampules are removed in a manner to prevent breakage. 2. Removal is conducted over a containment device. 3. Spills or leaks are immediately cleaned up. 4. Activity is performed in a well ventilated, monitored environment. | | 673.13(3)(b) |
| F. Pesticides are placed in a tank that meets NR 665 subch. J requirements, except closure and post closure requirements in NR 665.0197(3) and waste analysis requirements in NR 665.0200. | | 673.13(2) |
| G. Pesticides are placed in a transport vehicle or vessel that is closed, structurally sound, not leaking and compatible with the waste. | | 673.13(2) |
| H. All universal wastes are labeled or marked "Waste" or "Used" followed by the specific type of universal waste handled or "Universal Waste". | | 673.14 |
| I. Containers, tanks, or transport vehicles of recalled pesticides are additionally marked with the label that was on or accompanied the product when it was sold or distributed. | | 673.14 |
| J. Length of accumulation time is demonstrated by any of the following: 1. Mark or label each container with the earliest date the waste is generated or received. 2. Mark or label the individual item of waste with the date it was generated or received. 3. Maintain an inventory system identifying the date the waste was generated or received. 4. Place the universal waste in a specific accumulation area identified with the earliest date the waste was generated or received. 5. Use some other method that clearly demonstrates the length of accumulation time. | | 673.15(3) |
| K. Universal waste is accumulated for less than one year from the date generated or received from another handler. | | 673.15(1) |



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Section 2: General Standards

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| L. If universal waste is accumulated beyond one year, the handler can prove that accumulation was necessary to facilitate proper recovery, treatment or disposal. | | 673.15(2) |
| M. Employees are trained on the proper handling and emergency procedures appropriate to the types of waste handled at the facility. | | 673.16 |
| N. Handler complies with ALL of the following when a release occurs: 1. Immediately contains the release. 2. Determines if the spill residue is hazardous waste. 3. If hazardous waste, disposes of it as such. | | 673.17 |

Section 3: Off-site Shipments

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| A. Handler sends the waste to a destination facility, foreign destination or another handler. | | 673.18(1) |
| B. Handler that self-transportes complies with ALL of the following: 1. Applicable US DOT regulations in 49 CFR parts 171 to 180 when transporting universal waste that meets the definition of hazardous materials. 2. Immediately contain release and make waste determination on spill residue. 3. If shipped to a foreign destination other than an OECD country, use an EPA acknowledgement of consent. | | 673.18(2) |
| C. For hazardous materials, the handler packages, labels, marks, placards and prepares the proper shipping papers in accordance with DOT requirements in 49 CFR parts 172 to 180. If no pretransportation activities are taking place during the inspection answer as 'NA' | | 673.18(3) |
| D. When shipping to another universal waste handler, the handler has agreed to receive the shipment. | | 673.18(4) |
| E. If a shipment was rejected, EITHER of the following occurred: 1. The waste was sent back to the originating handler. 2. The originating handler agreed on a destination facility to which to ship the waste. | | 673.18 |
| F. If a shipment contains hazardous waste, the handler receiving the shipment immediately notifies the Department. | | 673.18(7) |
| G. Nonhazardous, nonuniversal waste, in a universal waste shipment is managed in compliance with the solid waste requirements. | | 673.18(8) |