

**Clean Water Fund Program (CWFP)
 Fiscal Sustainability Plan Certification**

(R 5/16)

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Notice: This form is authorized under Section 603(d)(1)E of the Federal Water Pollution Control Act, as amended June 10, 2014, which requires that each loan recipient with a project for the repair, replacement, or expansion of treatment works develop and implement a Fiscal Sustainability Plan. Failure to submit a completed form will result in denial of funds for the project.

Municipality Name	WPDES Permit No.	Check one. Municipality owns: <input type="checkbox"/> a treatment plant and a collection system. <input type="checkbox"/> a collection system only.
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If you checked "a collection system only", provide the following information regarding the treatment facility to which your wastewater is discharged.

Municipality Name:	WPDES Permit No.:
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The following four components are the minimum requirements of a Fiscal Sustainability Plan (FSP). Check Yes for all of the components your municipality currently maintains. (Read more about FSPs at: dnr.wi.gov/Aid/documents/EIF/Guide/FSP.html)

- | | |
|--|--|
| 1. An inventory of critical assets | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 2. An evaluation of the condition and performance of those assets | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 3. A plan for maintaining, repairing, and replacing the treatment works and for funding those activities | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 4. An evaluation and an implementation plan for water and energy conservation | <input type="checkbox"/> Yes <input type="checkbox"/> No |

If you checked Yes for all components, you meet the requirements of the FSP. Complete the certification below.

If you checked No for any of the components, you must fulfill the requirements of the FSP prior to receiving your final disbursement of CWFP funds. Answer question 5, and complete the certification below.

- | | |
|--|---|
| 5. Is your municipality interested in receiving financial assistance from the CWFP for the development of the FSP components you don't currently have? (See page 2.) | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A |
|--|---|

Complete the following certification as applicable to your situation.

Municipal Certification (Check one)	
I hereby certify that the _____ <div style="text-align: center; margin-left: 200px;">Municipality Name</div> <input type="checkbox"/> meets the minimum requirements of a Fiscal Sustainability Plan as indicated above and will continue to maintain the required components for at least the life of the loan. <input type="checkbox"/> will meet the minimum requirements of a Fiscal Sustainability Plan, including all of the components listed above, prior to the final disbursement of funds from the CWFP for this project and will continue to maintain the required components for at least the life of the loan.	
Signature of Authorized Representative	Date Signed
Name of Authorized Representative (Print or Type)	Title of Authorized Representative (Print or Type)

Application for FSP Financial Assistance

Municipalities may request CWFP financial assistance to cover costs incurred developing their FSP components. Any municipality may receive loan funding to pay these costs. The CWFP will provide the funds as reimbursement as part of the CWFP loan.

The CWFP will provide *principal forgiveness funding (PF)* for costs of FSP development **to municipalities that qualify under the affordability criteria/PF allocation methodology** described on our PF webpage: dnr.wi.gov/Aid/documents/EIF/Guide/PF.html.

For municipalities with populations under 5,000:

- If the municipality is eligible for PF for 30% or more of its total eligible project costs, then the CWFP would cover 100% of the FSP development costs with PF, up to a cap of \$30,000.
- If the municipality is eligible for PF of 15% to 25% of its total eligible project costs, then the CWFP would cover 50% of FSP development costs with PF, up to a cap of \$15,000 in PF (50% of \$30,000).
- Any costs for FSP development in excess of \$30,000 would be covered at the eligible PF % up to an additional \$10,000 in PF (so the maximum a municipality with a population under 5,000 could receive is \$40,000 or \$25,000 depending on the PF % for which they are eligible).
- Any FSP development costs in excess of the caps are eligible for loan funding.

For municipalities with populations of 5,000 or greater:

- If the municipality is eligible for PF for 30% or more of its total eligible project costs, then the CWFP would cover 50% of FSP development costs with PF, up to a cap of \$30,000 in PF (50% of \$60,000).
- If the municipality is eligible for PF of 15% to 25% of its total eligible project costs, then the CWFP would cover 25% of FSP development costs with PF up to a cap of \$15,000 in PF (25% of \$60,000).
- Any FSP development costs in excess of the caps are eligible for loan funding.

The PF for FSP development will be a reimbursement disbursed as part of the CWFP loan. The eligible FSP development costs will be forgiven at the time of loan disbursement.

The following are some of the activities/items that may be covered by CWFP financial assistance for development of a fiscal sustainability plan. This is not an all-inclusive list. The department will consider providing funding for other activities/items proposed by the applicant.

Check all that apply:

- | | |
|--|--|
| <input type="checkbox"/> Inventory of system assets
<input type="checkbox"/> System mapping
<input type="checkbox"/> GIS database
<input type="checkbox"/> Asset condition assessment
<input type="checkbox"/> Sewer televising
<input type="checkbox"/> Smoke- or dye-testing sewers
<input type="checkbox"/> Computer hardware/software
<input type="checkbox"/> Energy-efficiency assessment
<input type="checkbox"/> Water-efficiency assessment | <input type="checkbox"/> Other _____
<input type="checkbox"/> Other _____
<input type="checkbox"/> Other _____
<input type="checkbox"/> Other _____ |
|--|--|

Total FSP Development Costs \$

Total FSP PF Requested \$

DNR Use Only

Is the municipality eligible for PF to fund their FSP costs? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
Municipality's Population	Eligible PF %	Max. FSP PF
Specialist Signature		Date Signed
Notes or Comments:		